

Account Opening Package - Corporate



Securities

(Member of The Nigerian Stock Exchange)



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Corporate Account Opening Form

Set Off

I/We agree that BGL may, at any time without notice, notwithstanding any settlement of account of other matter whatsoever combine or consolidate all or any of my then existing account(s) opened in my name, relations, children, spouse or company(ies) names and set off, appropriate or transfer any sum(s) standing to the credit of any of such accounts towards satisfaction of any liabilities be it present or future, actual or contingent, primary or collateral and several or joint.

Declaration

I/We declare that the information given in the account opening forms is true and correct. We agree that any information found to be false may cause BGL to decline the application or close the account if it has been opened. Should any details change in the future, e.g. address, name of Company etc. I/We shall inform you promptly.

I/We note that BGL shall not bear any responsibility or liability whatsoever for funds handed to members of staff for any cash payment made to any account person for the purpose of account opening.

Funding accounts in debit

If for any reason BGL authorizes the execution of our validly executed mandate when at the material time the account does not have sufficient funds to accommodate the value of the transaction, (and the said account is thereby thrown into debit) we agree that an overdraft position is thereby created. Any sum or sums standing to the debit of our account as a result of this overdraft position shall automatically be liable to interest charges at a prevailing market rate. You are authorized to debit the account with your usual charges, commission and interests for such facilities.

Client's Name

Signature



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The Board Resolution should include the following:

That BGL Limited [hereinafter called 'BGL'] be and is hereby designated stockbroker to the Company.

That BGL shall honor all mandates issued by this Company for purchase or sale of equities provided our account is in sufficient funds to accommodate the mandate.

That where there is to the knowledge of BGL, a dispute between Officers and Directors of the Company mandated to operate the account, BGL may at its discretion stop further transaction on the account without any liability whatsoever until the dispute is seen to have been satisfactorily resolved.

That until BGL receives any written notice by way of the Company's resolution to the contrary BGL shall honour signature(s) appearing here under for all transactions on the account as mandated in the signature mandate.

We agree to accept as due notification notice of change in conditions governing the account directed to our last known address and to be bound by such change.

General Indemnity

I/We agree to hold BGL free from any responsibility for any loss of funds credited to your account due to any government order, law, levy, tax, embargo, exchange restriction or other cause beyond your control.

Director

Signature

Director

Signature

Director

Signature



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Corporate Account Opening Form - Page 2

SERVICE REQUIRED

- | | | | |
|--------------------------|-----------------------|--------------------------|-----------------------------------|
| <input type="checkbox"/> | BGL Nominee Programme | <input type="checkbox"/> | BGL Guaranteed Consolidated Notes |
| <input type="checkbox"/> | BGL Consolidated Fund | <input type="checkbox"/> | BGL Equity Trading Service |
| <input type="checkbox"/> | BGL Build-Up Account | <input type="checkbox"/> | BGL Young Investors Account |

CLIENT PROFILE

Stated Investors Profile

- | | | | |
|--------------------------|-----------------|--------------------------|--------|
| <input type="checkbox"/> | Income & Growth | <input type="checkbox"/> | Growth |
|--------------------------|-----------------|--------------------------|--------|

KEY

Income & Growth

I want my investment to grow over time, but I would also like to generate some current income within the short to medium term. It is important the value of my investments keep pace with inflation.

Growth

I have a need to build up Wealth and have my returns re-invested in my portfolio rather than current income over a long time horizon.

How much volatility are you willing to accept?

Key

Depending on what objective you select, the value of the assets can remain quite stable or rise and fall in response to market events. The degree to which an investment moves upwards or downwards is referred to as *Volatility*. Generally more volatile investments are more risky but grow faster than most investments.

Low

I do not want any erosion in my capital, even if it means my returns are relatively small and may not keep pace with inflation.

Moderate

I am willing to accept a slight volatility in my capital as long as my money is in sound, high quality investments that will grow over time and can match inflation.

High

I am willing to take volatility on my portfolio in pursuit of significant higher returns over time.

Official Use Only

Client's Account Number

CSCS Number

Introduced By

Account Officer's Name:

Account Officer's Phone Number: Email:

Sign of Account Officer/Branch:

New Account Approved By:

Head, Customer Care:

Signature:

Checklist

S/N	Details for Current Accounts	Yes	No
1.	Duly completed Account Opening Form	<input type="checkbox"/>	<input type="checkbox"/>
2.	CERTIFIED TRUE COPY of Certificate Incorporate <i>(Original Copy to be sighted)</i>	<input type="checkbox"/>	<input type="checkbox"/>
3.	Copies of Utility Bills for the last 3 months <i>(Original copies to be sighted)</i>	<input type="checkbox"/>	<input type="checkbox"/>
4.	Two (2) recent clear passport-size photographs of each signatory to the account with their names and signature written on the reverse side.	<input type="checkbox"/>	<input type="checkbox"/>
5.	Memorandum and Articles of Association <i>(certified as a true copy by the Registrar of Companies and a Director of the Company)</i>	<input type="checkbox"/>	<input type="checkbox"/>
6.	Form C07/CAC 2.3 Particulars of Directors of the company certified by the Registrar of Companies <i>(Original to be sighted)</i>	<input type="checkbox"/>	<input type="checkbox"/>
7.	Form C02 Allotment of shares of the company	<input type="checkbox"/>	<input type="checkbox"/>
8.	Residence Permit <i>(where applicable).</i>	<input type="checkbox"/>	<input type="checkbox"/>
9.	Identification of signatories: International Passport, Driver's License or National ID Card. <i>(Original to be sighted)</i>	<input type="checkbox"/>	<input type="checkbox"/>
10.	Board Resolution appointing BGL Securities as the company's Stock Brokers, Portfolio Managers etc and including names of all signatories to the account and directors of the company in attendance. This must be executed under company seal.	<input type="checkbox"/>	<input type="checkbox"/>
11.	Public Utility Receipt i.e. Tax Clearance Certificate (TCC), PHCN Bills, Water Bills or Telephone Bills <i>(Original to be sighted)</i> which must bear the current address of the company.	<input type="checkbox"/>	<input type="checkbox"/>



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Corporate Account Opening Form - Page 4

Client's Signature Mandate

please tick as appropriate signatory(ies) for transactions on account

Sole Signatory

All Signatories

Either Signatories

Any Two Signatories

Client's Names:

Signature (s):

Two Passports:

Attach
Passport
Photograph
Here

Attach
Passport
Photograph
Here

Client's Names:

Signature (s):

Two Passports:

Attach
Passport
Photograph
Here

Attach
Passport
Photograph
Here

Client's Names:

Signature (s):

Two Passports:

Attach
Passport
Photograph
Here

Attach
Passport
Photograph
Here

The Clearing House

The Clearing House

Particulars of Shareholders

Current Date: \ \20 Branch:

Member Code: **BGL**

Members Name: **BGL Securities Limited**

Shareholder's Type:

Clearing House Number (CHN):

Birth Date:

Shareholder's Name (Surname):

Other Name (s):

Mother's Maiden Name:

Contact (If Corporate):

Shareholder's Address:

City: Country: Postal Code:

Other name as its written in other Certificates (If different from above) :

Name:

Address:

Name:

Address:

Name:

Address:

 Phone:  Fax:

Reference No:

Country of Origin (For Statistical Purpose):

Waiver

I/We of

a National of am (are) a prospective shareholder(s) in companies listed on The Nigeria Stock Exchange and I (We) hereby FREELY state that being aware of my (our) right to be issued with a Share Certificate(s) under sections 146 and 147 of the Companies and Allied Matter Act 1990 and the Memoranda and Articles of Association of the listed Companies for my (our) sole benefit and private purpose do hereby waive the said right and also DECLARE that I (We) shall accept as sufficient certificate of my (our) shareholding, any Memorandum to that effect delivered to me (us) by the said listed company / companies or the CENTRAL SECURITIES CLEARING SYSTEM LIMITED acting on behalf of the same as satisfaction of my (our) said right Under the sections of Memoranda and Articles of Association aforementioned.

Dated this day of 20

Signed Sealed (Coy)